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08-08-01

A

PTO/SB/05 (08-00)

08/07/01



JCEET U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

**ADDRESS TO:**

Assistant Commissioner of Patents  
Box Patent Application  
Washington, DC 20231

Attorney Docket No.

045297.0001

First Named Inventor  
or  
Application identifier

Joseph D. Macchia

Title

METHOD OF GENERATING INSURANCE  
BUSINESS BY PROVIDING AN ON-SITE  
UNDERWRITER

Express Mail No.

EL813906356US



JCEET U.S. PTO  
08/07/01

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form PTO/SB/17 (submit in duplicate)
2. ☒ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 16]
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 4]
5. ☒ Oath or Declaration [Total Pages: 1]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with No. 17 completed)

**[Note No. 6 below]**

- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in  
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ **Incorporation By Reference (useable if No. 5b is  
checked)**  
The entire disclosure of the prior application, from which a copy of  
the oath or declaration is supplied under No. 5b, is considered as  
being part of the disclosure of the accompanying application and is  
hereby incorporated by reference therein.
7. ☐ **Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)**
  - a. ☐ Computer Readable Copy (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies
8. ☐ **Application Data Sheet. See 37 CFR 1.76**

9. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

**ACCOMPANYING APPLICATION PARTS**

10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
12. ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure  
Statement (IDS)/PTO-1449  
\_\_\_ Copies of IDS Citations
15. ☐ Preliminary Amendment
16. ☒ Return Receipt Postcard (Itemized)
17. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
18. ☒ Other: Request And Certification Under 35 U.S.C.  
122(B)(2)(B)(I)

19. If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information:

- ☐ Continuation  
☐ Divisional  
☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_  
Prior Application Information:  
Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

**20. Correspondence Address**

☒ Customer Number or Bar Code Label or \_\_\_\_\_ Correspondence address below

020790

(Insert Customer No. or Attach bar code label here)

Name	Attn: Gregory K. Goshorn AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.				
Address	816 Congress Avenue, Suite 1900				
City	Austin	State	Texas	Zip Code	78701
Country	U.S.A.	Telephone	(512) 499-6290	Fax	(512) 499-6290

Date: August 7, 2001

Gregory K. Goshorn, Reg. No. 44,721

**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision

**Complete if Known**

<b>FEE TRANSMITTAL for FY 2001</b>  Patent fees are subject to annual revision		Application Number	n/a
		Filing Date	August 7, 2001
		First Named Inventor	Joseph D. Macchia
		Examiner Name	n/a
		Group / Art Unit	n/a
Total Amount of Payment	\$ 355.00	Attorney Docket No.	045297.0001

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
 Deposit Account No.: 16-2435  
 Deposit Account Name: Akin, Gump, Strauss, Hauer & Feld, L.L.P.  
☒ Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17  
☒ Applicant claims small entity status.  
 See 37 CFR 1.27

2. ☒ Payment Enclosed: ☒ Check  
☐ Money Order  
☐ Credit Card  
☐ Other

**FEE CALCULATION****1. Basic Filing Fee**

Large Fee Code	Large Entity Fee (\$)	Small Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	\$710	201	\$355	Utility Filing Fee	\$ 355.00
106	\$130	206	\$ 65	Design Filing Fee	\$
107	\$490	207	\$245	Plant Filing Fee	\$
108	\$710	208	\$355	Reissue Filing Fee	\$
114	\$150	214	\$ 75	Provisional Filing Fee	\$
<b>Subtotal (1)</b>					\$355.00

**2. Extra Claim Fees**

Claims	Extra	Fee (below)	Fee Paid
Total	20 - 20** = 0	x \$	= \$ 0
Indep.	3 - 3** = 0	x \$	= \$ 0
Multiple Dependent			\$
**or number previously paid, if greater.			
For Reissues, see below			
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	
\$ 18	\$ 9	Claims in excess of 20	
\$ 80	\$ 40	Independent claims in excess of 3	
\$ 270	\$ 135	Multiple dependent claim, if not paid	
\$ 80	\$ 40	**Reissue independent claims over original patent	
\$ 18	\$ 9	**Reissue claims in excess of 20 and over original patent	
<b>Subtotal (2)</b>			\$ 0

**FEE CALCULATION (continued)****3. Additional Fees**

Late Fee Code	Large Entity	Small Fee Code	Small Entity	Fee Description	Fee Paid
105	\$ 130	205	\$ 65	Surcharge - late fee or oath	\$
127	\$ 50	227	\$ 25	Surcharge - late provisional filing fee or cover sheet	\$
147	\$2,520	147	\$2,520	Request for Reexamination	\$
112	\$920*	112	\$920*	Requesting publication of SIR prior to Examiner action	\$
113	\$1840*	113	\$1840*	Requesting publication of SIR after Examiner action	\$
115	\$ 110	215	\$ 55	Extension for reply within first month	\$
116	\$ 390	216	\$ 195	Extension for reply within second month	\$
117	\$ 890	217	\$ 445	Extension for reply within third month	\$
118	\$1,390	218	\$ 695	Extension for reply within fourth month	\$
128	\$1,890	228	\$ 945	Extension for reply within fifth month	\$
119	\$ 310	219	\$ 155	Notice of Appeal	\$
120	\$ 310	220	\$ 155	Filing a brief in support of an appeal	\$
121	\$ 270	221	\$ 135	Request for oral hearing	\$
140	\$ 110	240	\$ 55	Petition to revive - unavoidable	\$
141	\$1,240	241	\$ 620	Petition to revive - unintentional	\$
142	\$1,240	242	\$ 620	Utility issue fee (or reissue)	\$
143	\$ 440	243	\$ 220	Design issue fee	\$
144	\$ 600	244	\$ 300	Plant issue fee	\$
122	\$ 130	122	\$ 130	Petitions to the Commissioner	\$
123	\$ 50	123	\$ 50	Petitions related to provisional applications	\$
126	\$ 240	126	\$ 240	Submission of Information Disclosure Statement	\$
581	\$ 40	581	\$ 40	Recording each patent assignment per property (times number of properties)	\$
146	\$ 710	246	\$ 355	Filing a submission after final rejection (37 CFR 1.129(a))	\$
179	\$ 690	279	\$ 345	Request for Continued Examination (RCE)	\$
169	\$ 900	169	\$ 900	Request for expedited examination of a design application	\$

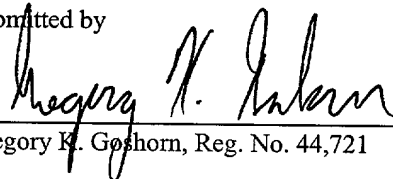
Other fee (specify): \_\_\_\_\_ \$

Other fee (specify): \_\_\_\_\_ \$

\* Reduced by Basic Filing Fee Paid

**Subtotal (3)** \$ 0

Submitted by



Gregory K. Goshorn, Reg. No. 44,721

Date: August 7, 2001Express Mail No. EL813906356US

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Joseph D. Macchia

Title

**METHOD OF GENERATING INSURANCE BUSINESS  
BY PROVIDING AN ON-SITE UNDERWRITER**

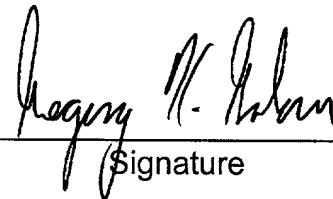
Atty. Docket Number

**045297.0001**

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

08/07/2001  
Date

August 7, 2001  
Date

  
Signature

Gregory K. Goshorn  
Typed or Printed Name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If application rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Via Express Mail Label EL813906356US